



ATTORNEY DOCKET NO. 4103/1  
10/041,752

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Deborah A. Dixon (deceased)

Serial No. : 10/041,752 Examiner: To Be Assigned

Filed : January 7, 2002 Group Art Unit: 2166

Title : SYSTEM AND METHOD FOR ASSET  
ACCUMULATION AND RISK MANAGEMENT

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

**RECEIVED**  
JUL 01 2003  
**GROUP 3600**

Sir:

IN THE CLAIMS:

Please cancel Claims 1-12 without prejudice or disclaimer.

REMARKS

Claims 13-34 remain pending in this case. To expedite prosecution of this Application to allowance, the Examiner is invited to call the Applicant's undersigned representative to discuss any issues relating to this Application.

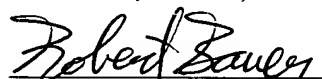
Respectfully submitted,

Dated: June 23, 2003



Robert M. Bauer  
Reg. No. 34,487  
BROWN RAYSMAN MILLSTEIN  
FELDER & STEINER LLP  
900 Third Avenue  
New York, NY 10022

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as First Class Mail addressed to: Mail Stop: Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

 June 23, 2003  
Robert M. Bauer Date



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TRANSMITTAL  
LETTER

Atty Docket No.: 4103/1

Total Pages: 8

Mail Stop Non-Fee Amendment  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicants : Deborah Dixon (deceased)  
Serial No. : 10/041,752  
Filed : January 7, 2002  
Title : SYSTEM AND METHOD FOR ASSET  
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Kindly file the annexed papers indicated below:

- ☒ Preliminary Amendment  
☒ Petition to Make Special  
☒ Check for Petition Fee in the amount of \$130.00

	Claims Remaining after Amendment	Claims Paid for	Extra	Rate (Sm. Ent.)	Fee	Rate	Fee
Total	23	34 =		x \$9	\$	x \$18	
Indep.	2	3 =		x \$42	\$	x \$84	
MULTIPLE DEPENDENT CLAIM ADDED				+ \$140	\$	+ \$280	
TOTAL					\$		

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to the undersigned attorney's Deposit Account No. 02-4270.

Dated: June 23, 2003

Respectfully Submitted,

By:

Robert M. Bauer  
Robert M. Bauer  
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